



**Universität Stuttgart**



**Institut für  
Maschinelle  
Sprachverarbeitung**



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# **A Unified Text Annotation Workflow for Diverse Goals**

## Why do we annotate?

- Empirical validation of theories
  
- Data creation

## Why do we annotate?

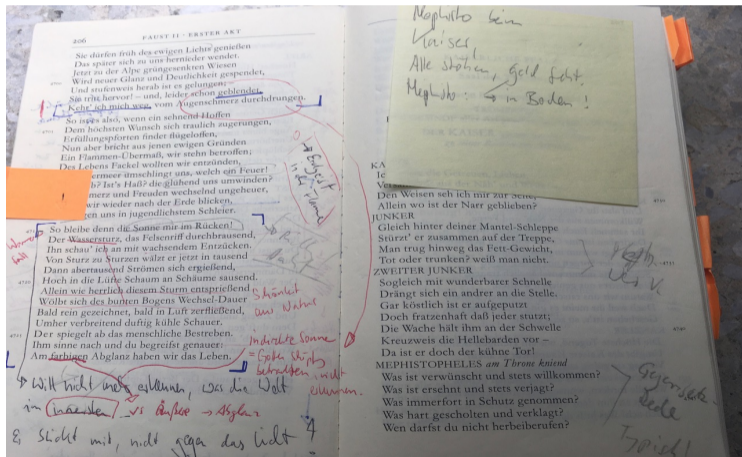
- Empirical validation of theories
  - Discovering phenomena not covered by a theory
  - Strengthening definitions in a theory
    - Often confused categories might be overlapping or at least unclear
  - Uncovering implicit assumptions
- Data creation

## Why do we annotate?

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- Data creation
  - Manually annotated data can be analysed
    - Which categories are how frequent in what context?
  - Automatic tools can be evaluated
    - How well do machines do this task?
  - Supervised tools can be trained

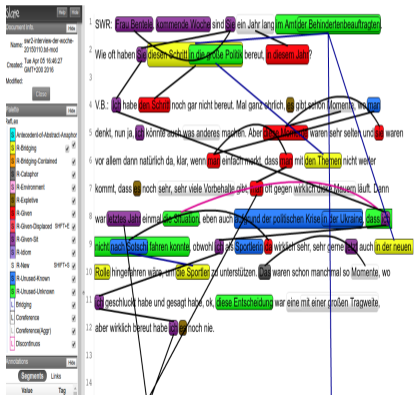
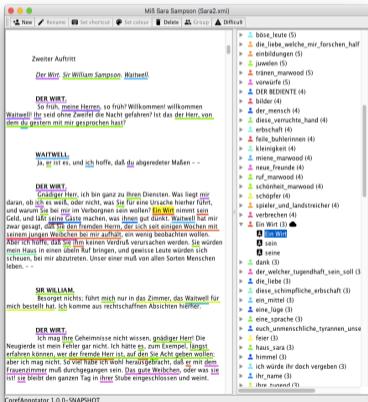
# Analog annotation ...

- Ideas attached to spans of text
  - Sometimes fuzzy text spans



# ...and digital annotation

- Explicit assignment of categories to text spans
- Text spans are explicitly bounded (begin, end)

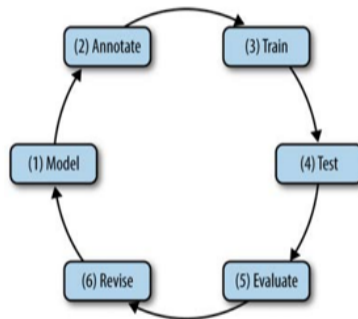


# Circles

- **Annotation (Circle)**
  - Well known in Computational Linguistics
  - Automation-centered
  - “Enrich with knowledge”

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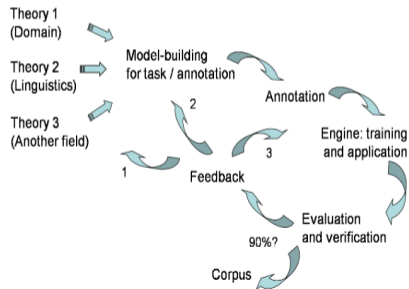
Pustejovsky and Stubbs (2012)



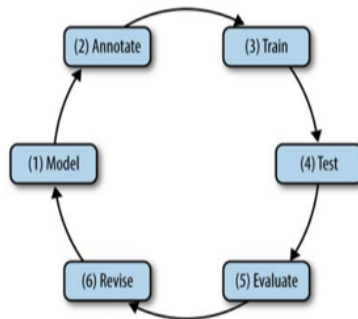
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Hovy and Lavid (2010)



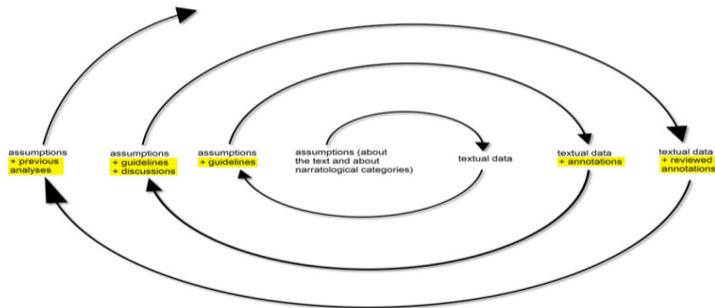
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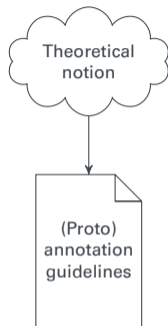
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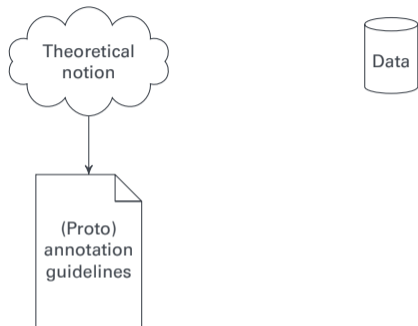
Gius and Jacke (2017)



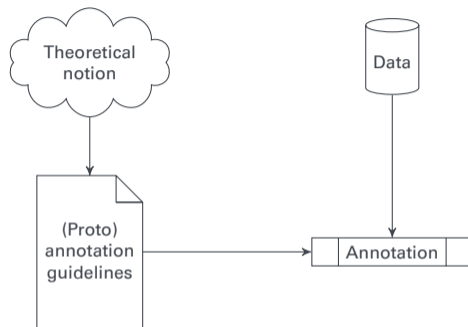
# Workflow



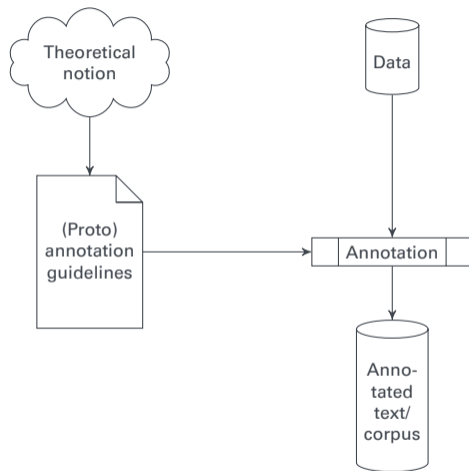
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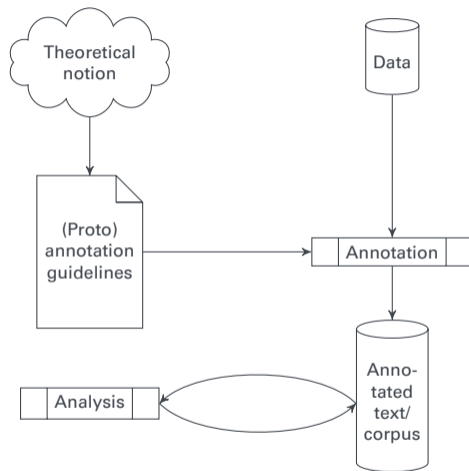


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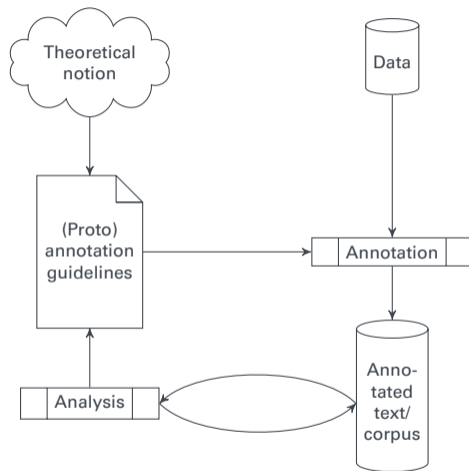




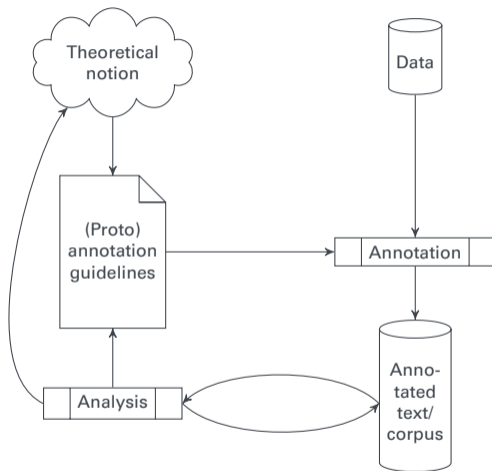
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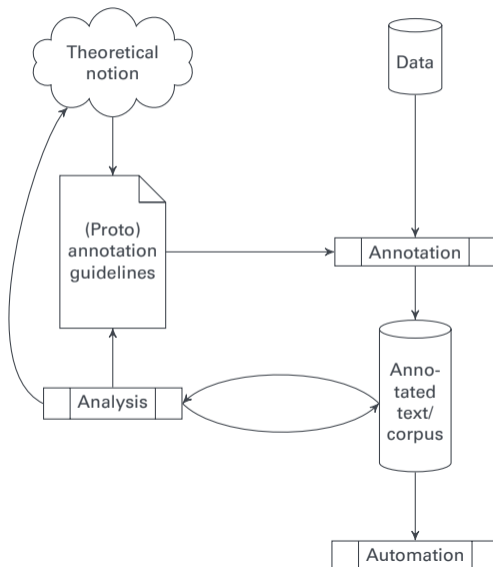
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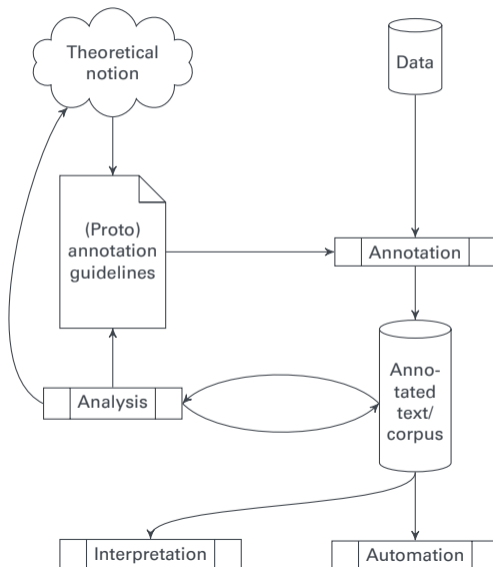
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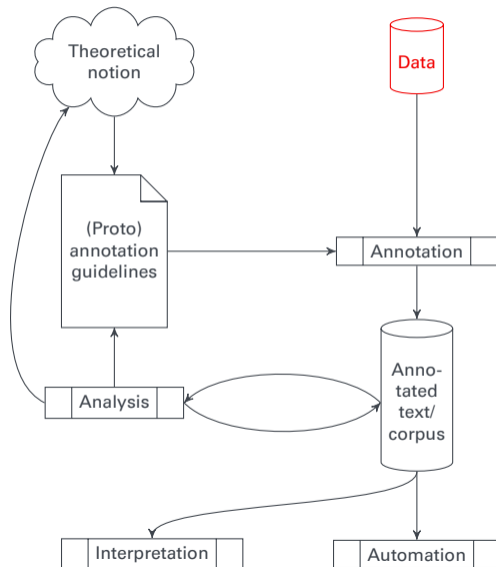


# Goals of Annotation

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  - Mostly note-taking
  - Semi-organized
  - Humanities centered
  - Ideally completely free of presuppositions

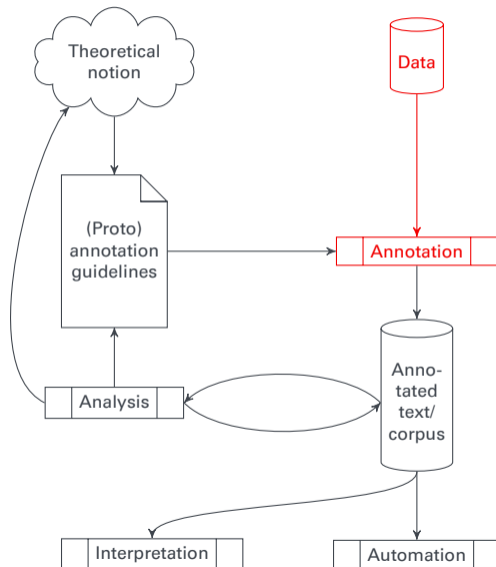
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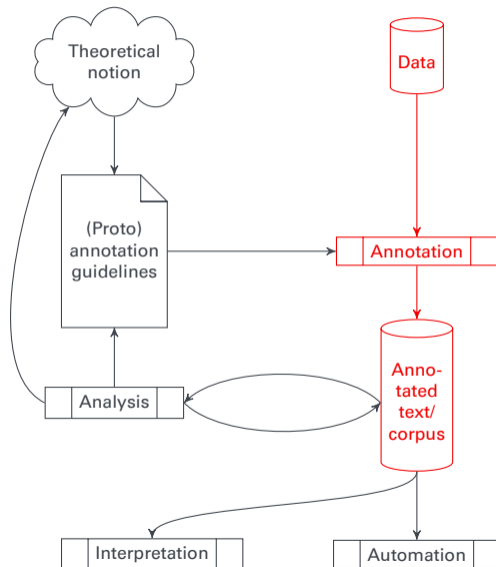
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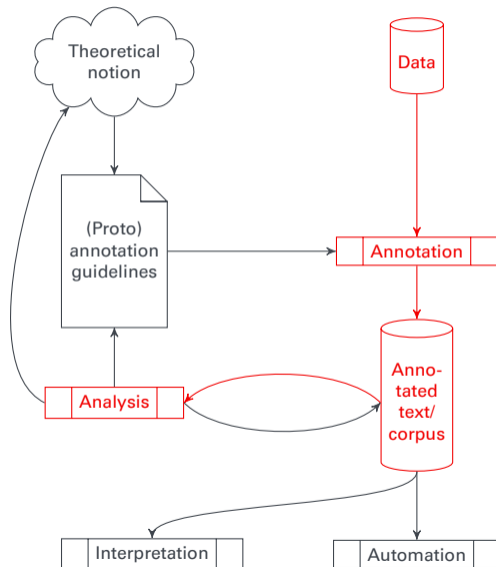
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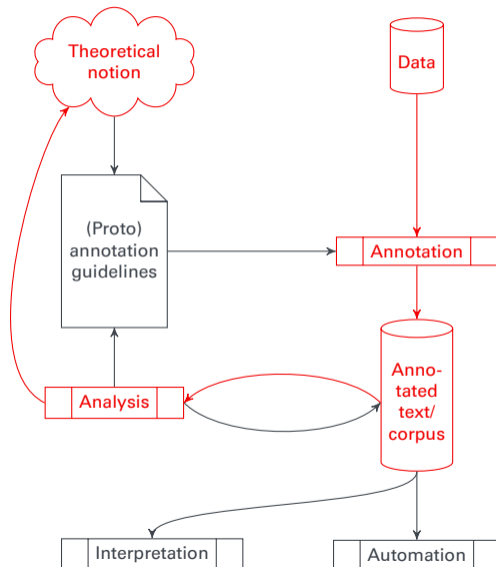
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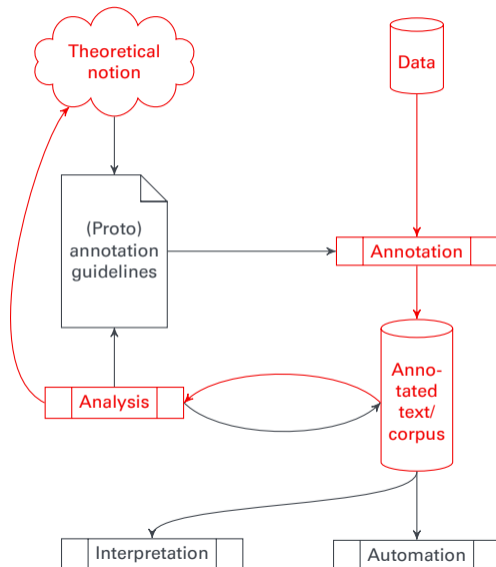
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- **Examples**
  - *Pliny* (Bradley, 2008)
  - *3DH* (Kleymann, Meister, and Stange, 2018)



Vico Frontispiece

**The Treaty**

**Metaphysics**

**Natural World**

**Vico Frontispiece: Intro**

GR and I used this image in our Paris Paper (approx. 1994). We were struck by Vico's use of graphical techniques to make philosophical points. Vico himself says that the frontispiece contains the essence of the arguments in his rather philosophical book.

**Vico Frontispiece: Nat. intr.**

"Vico says in his introduction that the allegorical picture will both help the reader conceive of the idea of his work before reading it and more easily reduce it to memory after having read it. Note that although most of the intellectual material is represented via purely allegorical methods, one of the tools in the allegorical arsenal is space itself – the arrangement of the elements plays a role in defining the associations between them. Metaphysics is standing on a globe representing the world of nature. The various objects that represent the civil world are arranged in a line together at the bottom of the image. The arrangement in space is a part of the technique used here."

**Vico Frontispiece: The Civil World**

The figures at the bottom of the image are placed at the "bottom" because of the symbolic significance of the bottom. Their appearance together also implies that they are in some important way, related.

Wittig 1978

Susan Wittig (1978). The computer and the Concept of Text. In Computers and the Humanities Vol 11 pp 211-215.

**Wittig 1978: CBLA: limits of newCrit base**

**Wittig 1978: CBLA and New Criticism**

"This failure derives, I think, from the fact that the computer, as an adjunct in literary analysis, has been used consistently within what we are beginning to recognise as the limited conceptual framework of New Criticism." (p. 211)

**Wittig 1978: CBLA: 3 notions**

"Three notions of text predominate: first, the notion that the text is a linear entity; second, the idea that the text is a one-time, completed work, firmly confined to its graphic representation, the

**Wittig 1978: CBLA: text as linear**

text is linearly defined -> "syntagmatic analysis" (Allen Dundes), or "metonymic" analysis (Roman Jakobson)

**Wittig 1978: reading and semiotics**

**Wittig 1978: Meaning thru reading**

**Wittig 1978: move CBLA away from NC**

### 3DH – Franz Kafka: *In der Strafkolonie*

Übersicht
Canvas „Häufungen und Lücken“
Canvas Data
Canvas Views

☐ -Es ist ein eigentümlicher Apparat-, sagte der Offizier zu dem Forschungsreisenden und überblickte mit einem gewissermaßen bewundernden Blick den ihm doch wohlbekannten Apparat. Der Reisende schien nur aus Höflichkeit der Einladung des Kommandanten gefolgt zu sein, der ihn aufgefodert hatte, der Exekution eines Soldaten beizuwohnen, der wegen Ungehorsam und Beleidigung des Vorgesetzten verurteilt worden war. Das Interesse für diese Exekution war wohl auch in der Strafkolonie nicht sehr groß. Wenigstens war hier in dem tiefen, sandigen, von kahlen Abhängen ringsum abgeschlossenen kleinen Tal außer dem Offizier und dem Reisenden nur der Verurteilte, ein stumpfsinniger breitmäuliger Mensch mit verwahrlostem Haar und Gesicht, und ein Soldat zugegen, der die schwere Kette hielt, in welche die kleinen Ketten ausliefen, mit denen der Verurteilte an den Fuß- und Handknöcheln sowie am Hals gefesselt war und die auch untereinander durch Verbindungsketten zusammenhingen. Übrigens sah der Verurteilte so hündisch ergeben aus, daß es den Anschein hatte, als könnte man ihn frei auf den Abhängen

Auswählen

mein Canvas  
Ordnung: Erstellungszeit

Häufungen und Lücken  
Ordnung: Verteilung

+

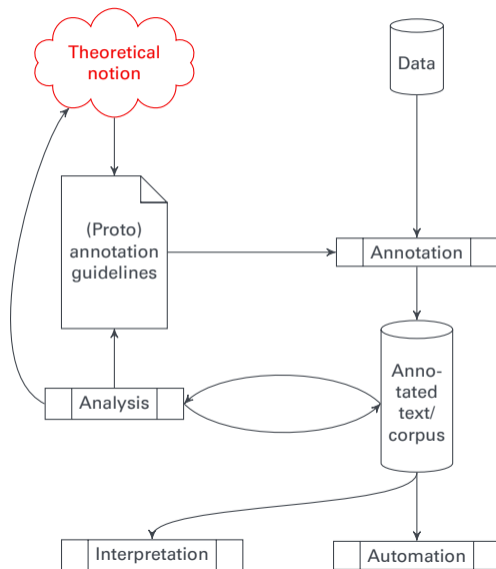
Filter: Tags

# Goals of Annotation

- **Conceptualizing**
  - Sharpen theoretic notions
  - Find disagreements of theoretical claims on concrete texts

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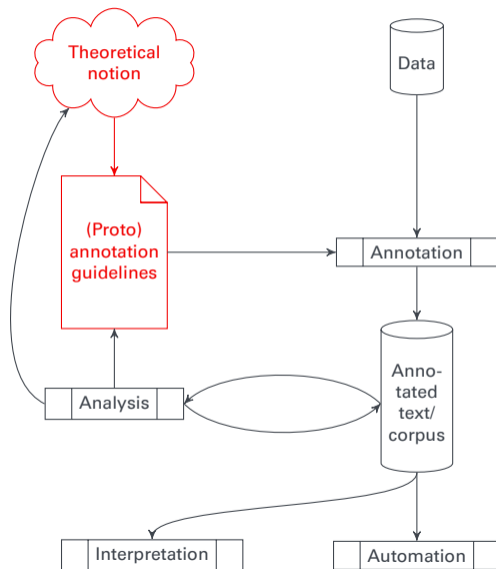




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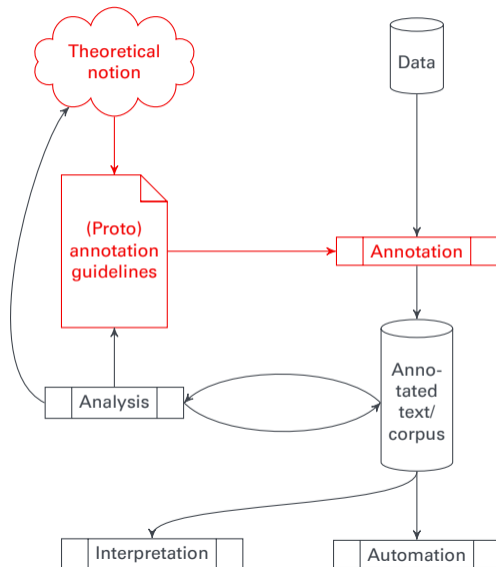
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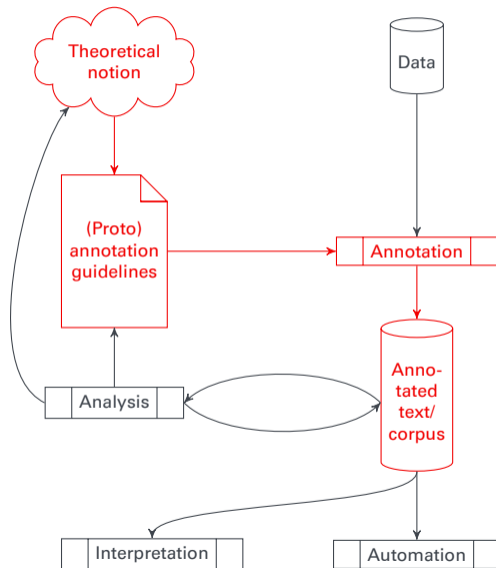
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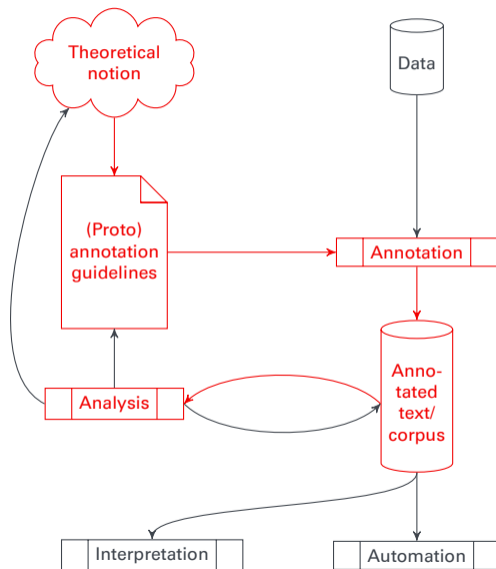
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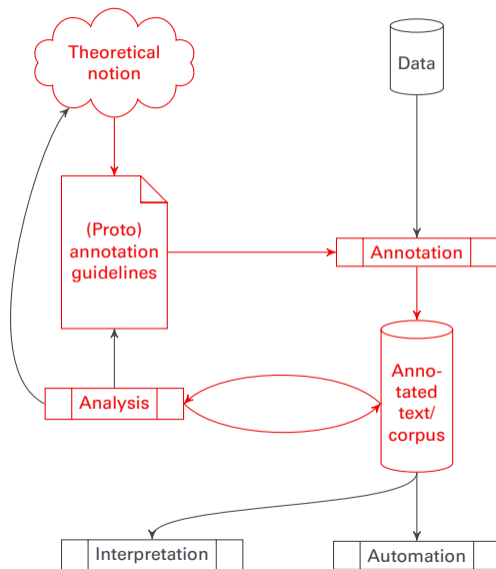
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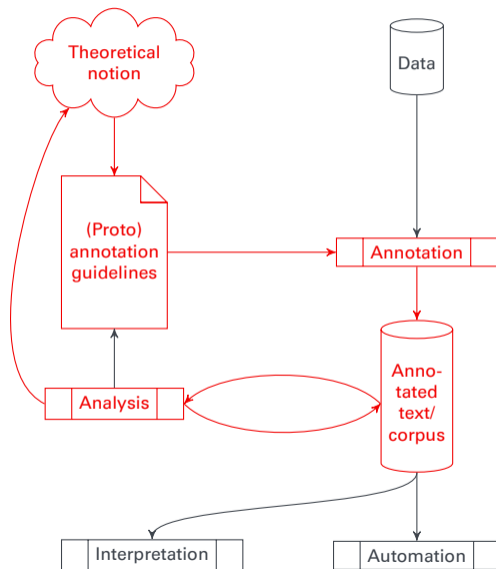
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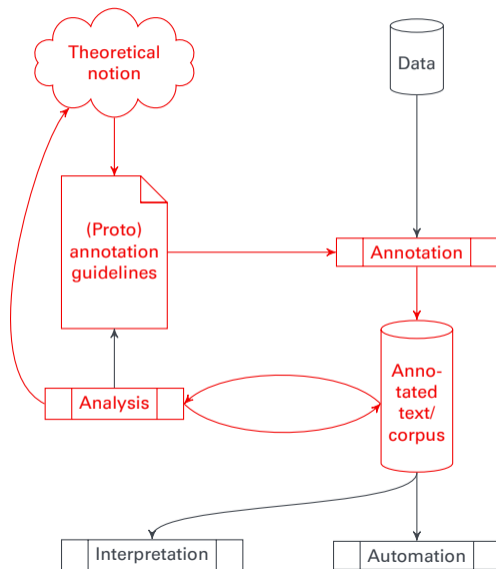
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- **Examples**

- *heureCLÉA* (Bögel et al., 2015)
- *QuaDramA* (Rösiger, Schulz, and Reiter, 2018)










## heureCLÉA (Catma)

in. Fusce mollis dignissim dolor, at gravida  
por sem eros, non suscipit augue malesuada  
condimentum maximus eleifend. **Snoopy** had  
ec faucibus lacus dui, a maximus nulla  
um. Cras risus nisi, molestie pharetra est eu,  
nec nulla id, bibendum fringilla lectus.  
quat ut nulla. In porttitor, magna sed vehicula  
eget justo. Etiam non nibh at diam mollis  
s hendrerit, diam mauris elementum eros,  
it efficitur. Pellentesque sapien ex,  
enatis faucibus orci, a faucibus enim condimentum  
est. Nunc convallis vehicula neque, ac  
ec ultricies:

Analyze Document page size zoom 1  100

Active Tagsets Active Markup Collections

Open Tagset

Tagsets	Tag Type Color
▼  snoopy-tags1	
▼  animal	
 dog	
 cat	
 meal	



CorefAnnotator 1.0.0-SNAPSHOT

Miß Sara Sampson (Sara2.xml)

New Rename Set shortcut Set colour Delete Group Difficult

Zweiter Auftritt

Der Wirt. Sir William Sampson. Waitwell.

**DER WIRT.**  
So früh, meine Herren, so früh? Willkommen! willkommen  
Waitwell! Ihr seid ohne Zweifel die Nacht gefahren? Ist das der Herr, von dem du gestern mit mir gesprochen hast?

**WAITWELL.**  
Ja, er ist es, und ich hoffe, daß du abgedeter Maßen - -

**DER WIRT.**  
Gnädiger Herr, ich bin ganz zu Ihren Diensten. Was liegt mir daran, ob ich es weiß, oder nicht, was Sie für eine Ursache hierher führt, und warum Sie bei mir im Verborgnen sein wollen? Ein Wirt nimmt sein Geld, und läßt seine Gäste machen, was ihnen gut dünkt. Waitwell hat mir zwar gesagt, daß Sie den fremden Herrn, der sich seit einigen Wochen mit seinem jungen Weibchen bei mir aufhält, ein wenig beobachten wollen. Aber ich hoffe, daß Sie ihm keinen Verdruß verursachen werden. Sie würden mein Haus in einen übeln Ruf bringen, und gewisse Leute würden sich scheuen, bei mir abzutreten. Unser einer muß von allen Sorten Menschen leben. - -

**SIR WILLIAM.**  
Besorget nichts: führt mich nur in das Zimmer, das Waitwell für mich bestellt hat. Ich komme aus rechtschaffnen Absichten hierher.

**DER WIRT.**  
Ich mag Ihre Geheimnisse nicht wissen, gnädiger Herr! Die Neugierde ist mein Fehler gar nicht. Ich hätte es, zum Exempel, längst erfahren können, wer der fremde Herr ist, auf den Sie Acht geben wollen; aber ich mag nicht. So viel habe ich wohl herausgebracht, daß er mit dem Frauenzimmer muß durchgegangen sein. Das gute Weibchen, oder was sie ist! sie bleibt den ganzen Tag in ihrer Stube eingeschlossen und weint.

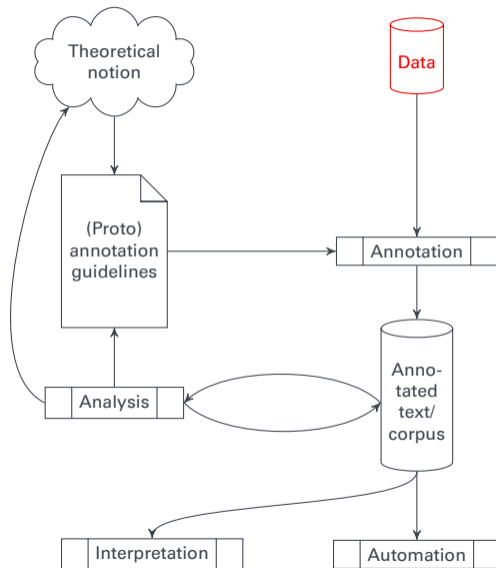
- ▶ böse\_leute (5)
- ▶ die\_liebe\_welche\_mir\_forschen\_half (5)
- ▶ einbildungen (5)
- ▶ juwelen (5)
- ▶ tränen\_marwood (5)
- ▶ vorwürfe (5)
- ▶ DER\_BEDIENTE (4)
- ▶ bilder (4)
- ▶ der\_mensch (4)
- ▶ diese\_verruchte\_hand (4)
- ▶ erbschaft (4)
- ▶ feile\_buhlerinnen (4)
- ▶ kleinigkeit (4)
- ▶ miene\_marwood (4)
- ▶ neue\_freunde (4)
- ▶ ruf\_marwood (4)
- ▶ schönheit\_marwood (4)
- ▶ schöpfer (4)
- ▶ spieler\_und\_landstreicher (4)
- ▶ verbrechen (4)
- ▶ Ein Wirt (3)
  - ▶ sein
  - ▶ seine
- ▶ dank (3)
- ▶ der\_welcher\_tugendhaft\_sein\_soll (3)
- ▶ die\_liebe (3)
- ▶ diese\_schimpfliche\_erbschaft (3)
- ▶ ein\_mittel (3)
- ▶ eine\_lüge (3)
- ▶ eine\_sprache (3)
- ▶ euch\_unmenschliche\_tyrrannen\_unse
- ▶ feier (3)
- ▶ haus\_sara (3)
- ▶ himmel (3)
- ▶ ich\_würde\_ihr\_doch\_vergeben (3)
- ▶ ihr\_name (3)
- ▶ ihre\_tugend (3)

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- **Explicating**
  - Structure text in an observable way
  - Helps interpretation

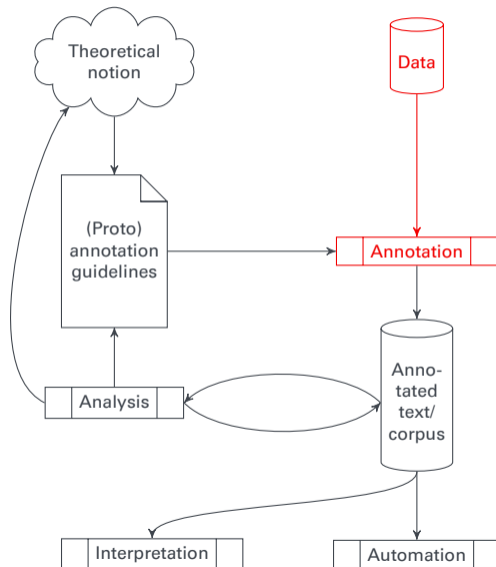
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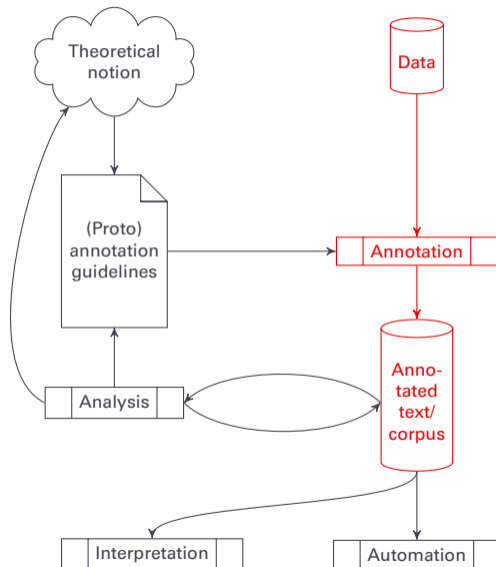
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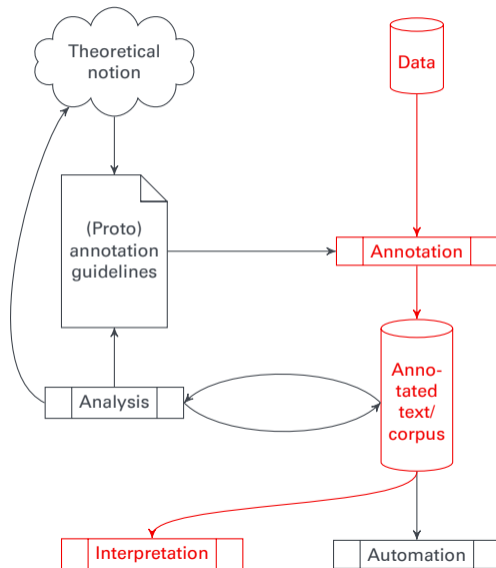
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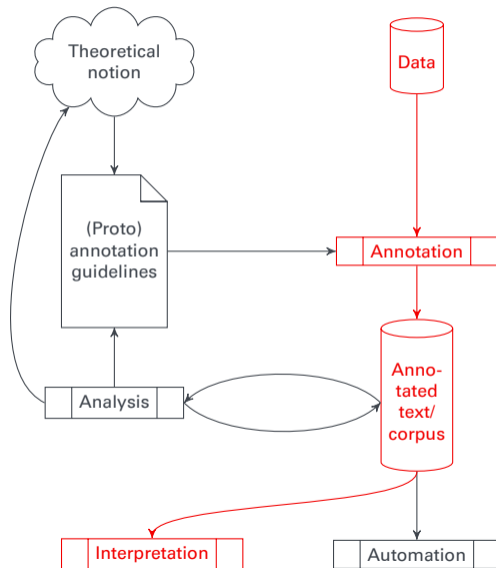
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- Examples
  - Nantke and Schlupkothen (2018)



# Nantke and Schlupkothen: Modeling Complex Intertextual Relations

## Beispiel: Das *Rheinweintlied* und seine Intertexte

Matthias Claudius (A):

Rheinweintlied

Bekrängt mit Laub den lieben vollen Becher,

Anonym (C):

Deutscher Zuruf

Ergreift mit Mut den angefüllten Becher

Und trinkt ihn fröhlich leer.

In ganz Europa, Ihr Herren Zecher!

Ist solch ein Wein nicht mehr.

Johann Gottfried Seume (B):

Bekrängt euch nicht, ihr weingelehrten Zecher,

Der Becher bleibe leer;

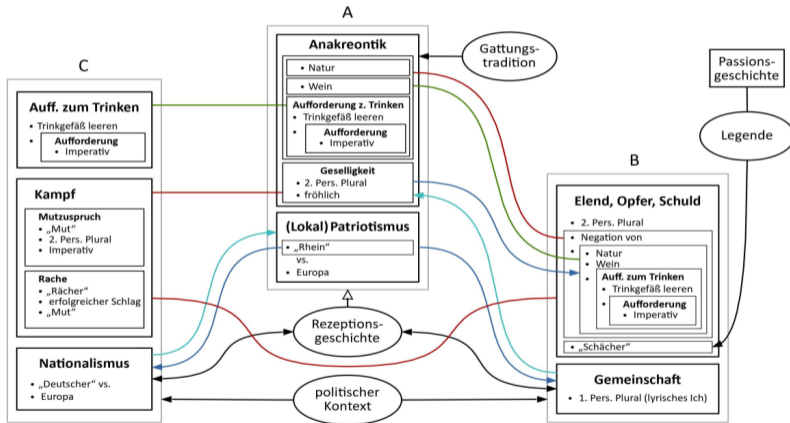
Denn wir sind nichts als nur Europens Schächer,

Für die ist Wein nicht mehr.



# Nantke and Schlupkothen: Modeling Complex Intertextual Relations

## Intertextuelles Netz



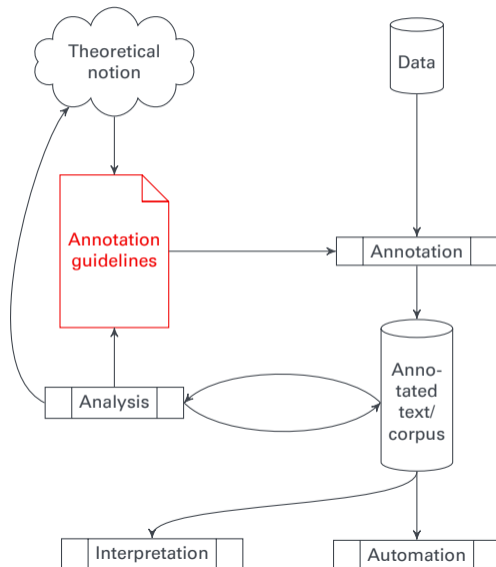
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- **Automation-oriented**
  - Create input data for automatic learning procedures
  - Usually uses existing theoretic notions
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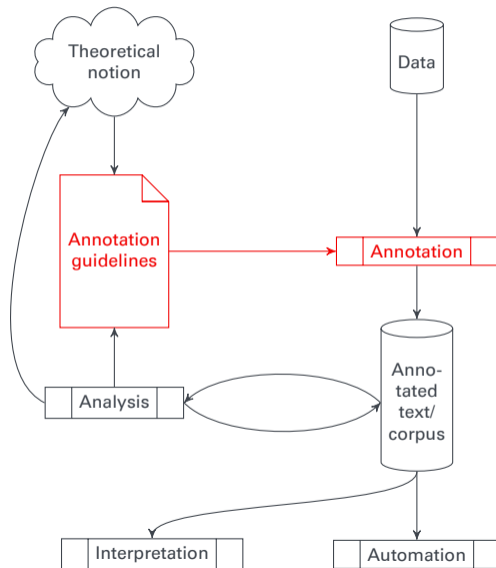
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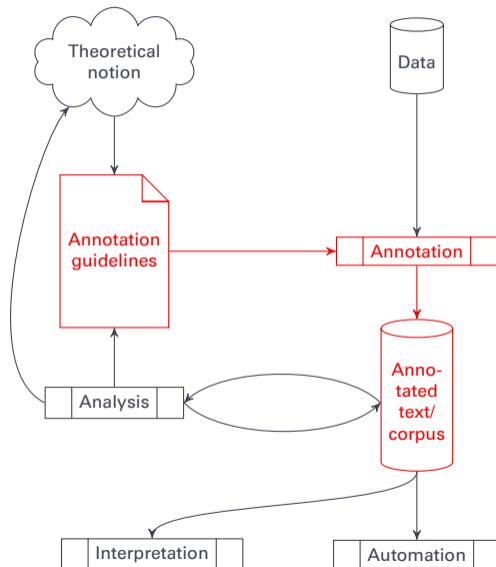
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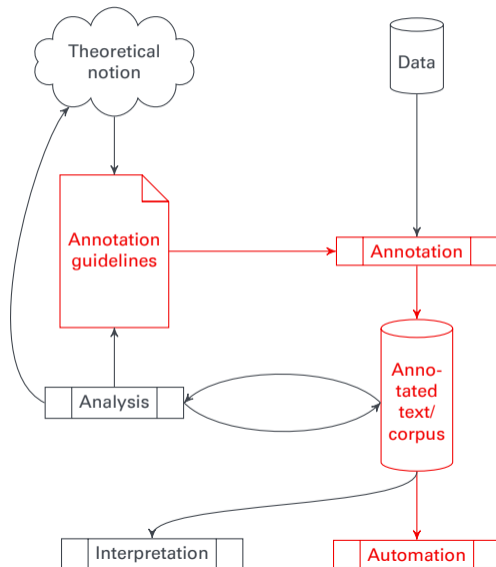
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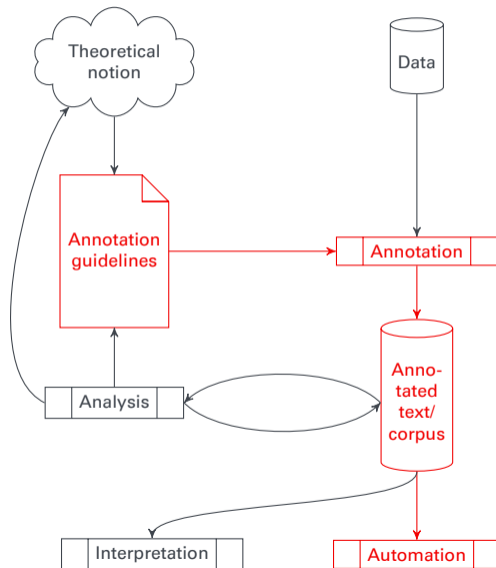
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- Examples
  - GRAIN (Schweitzer et al., 2018)
  - Schulz and Kuhn (2016)



The image shows two windows from the TIGER system. The top window, **TIGERSearch - Corpus: TIGERSampler**, displays a query in **Textual mode** with the following conditions:

```
#np: [cat="NP"] &
#art: [pos="ART"] &
#adj: [pos="ADJA"] &
#nn: [pos="NN"] &
#np > #art &
```

The bottom window, **TIGERGraphViewer**, shows the resulting syntactic tree for the sentence "Jubel über Lafontaine beendet die quälende Nabelschau". The tree structure is as follows:

- S** (Sentence) branches into **SB** (Subordinate Clause), **HD** (Head), and **OA** (Object Clause).
- SB** branches into **NP** (Noun Phrase) and **MNR** (Modifier).
- NP** branches into **NK** (Noun Kernel) and **PP** (Prepositional Phrase).
- PP** branches into **AC** (Adpositional Core) and **NK** (Noun Kernel).
- HD** branches into **VFIN** (Finite Verb).
- OA** branches into **NP** (Noun Phrase).
- NP** branches into **NK** (Noun Kernel), **ADJA** (Adjective), and **NN** (Noun).

Below the tree, the words are listed with their morphological and syntactic features:

Jubel	über	Lafontaine	beendet	die	quälende	Nabelschau
NN	APPR	NE	VFIN	ART	ADJA	NN
Masc.Nom.Sg	Akk	*.Akk.Sg	3.Sg.Past.Ind	Fem.Akk.Sg	Pos.Fem.Akk.Sg	Fem.Akk.Sg
Jubel	über	Lafontaine	beenden	die	quälen	Nabelschau

At the bottom of the TIGERGraphViewer window, there are navigation controls:

- Graphs:** 3
- Subgraphs:** 3
- Buttons: Previous, Next, First, Last
- Subgraph indicator: 1 / 1



## Discussion

- Annotation in DH
  - Helps disciplines with high theoretic focus
  - Supports intersubjectivity

## Discussion

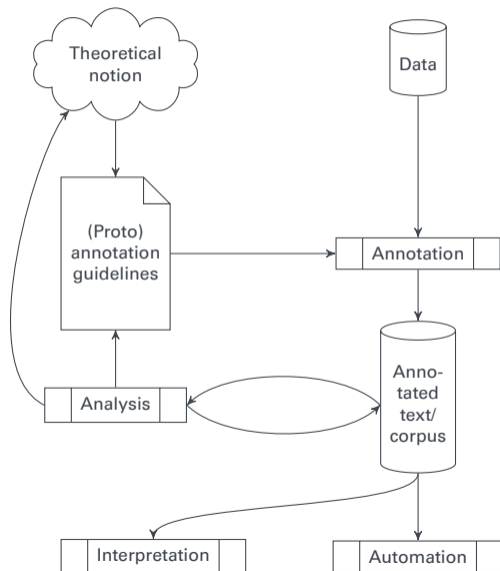
- Annotation in DH
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- Different goals enforce different tools
  - Not entirely clear how tools' functionality and annotation goals interrelate

## Discussion

- Annotation in DH
  - Helps disciplines with high theoretic focus
  - Supports intersubjectivity
- Different goals enforce different tools
  - Not entirely clear how tools' functionality and annotation goals interrelate
- How to treat true disagreements? (Gius and Jacke, 2017)
  - CL usually requires unambiguous annotation data
  - Humanities deal with ambiguity of concepts (different interpretations)
  - How to measure these wanted disagreements?
  - Representation problems
  - Machine learning problems

## Conclusion

- Workflow
- Goals
  - Exploratory
  - Conceptualizing
  - Explicating
  - Automation-oriented
- Example projects and areas
  - Pliny (Tool)
  - 3DH (Tool)
  - heureCLÉA (Narratology)
  - QuaDramA (Coreference)
  - Nantke and Schlupkothen (Intertextuality)
  - GRAIN (Part-of-Speech tagging)





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